



> *Supporting you with in-depth skills and experience when you need an extra dose of expertise or need help with a last-minute crisis.*

Essential Compliance Consulting and Additional Practice Areas



A BETTER WAY for financial institutions to manage compliance

Miscellaneous projects and last-minute crises arise at every bank, and sometimes you need a couple of extra hands.

We're here to help you satisfy the requirement for examination reports, whether you feel confident that your program is on target and want assurances that your strategy is on track, or you worry that some aspects of your program are lacking.

Whether your team is focused on higher priorities or you need a dash of additional expertise, TCA brings you à la carte services in several categories.

Even better, we serve them up with the systematic approach that you expect from TCA.

They include:

Risk Assessment Assistance

Physical growth and expanded services are a terrific way to serve your customers and communities and build your bank.

But adding new branches and rolling out new products and services carry a risk that you need to identify first.

We consider both the big picture and the tiny moving parts of your plan to pinpoint potential trouble spots so you can address them before you cut the ribbon on your new building or launch new suites of products.

We can conduct the entire risk assessment on your behalf or field questions and act as an advisor.

Since our team has guided numerous banks—both large and small and in multiple markets and product

For more information:



800-934-REGS



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Essential Compliance Consulting (continued)

categories—in their growth, we bring an informed, fresh perspective.

Audit Co-Source

Staffing shortages? Competing priorities?

TCA can help fulfill any of your audit commitments in all areas of consumer compliance whenever you're struggling to meet your audit deadlines.

Define your needs and preferences. Then, we'll get the work done.

Periodic Compliance Quality Control

When you're selling to investors, you already know about the requirements for quality control.

But have you also considered a robust consumer compliance review?

You can expect us to scrutinize your files – running them through the alphabet regs, searching for red flags, and identifying potential deficiencies.

We'll check off all the boxes for you, tell you about potential trouble spots, and explain how to protect your bank.

System Conversion Validation

It's always smart to validate the system whenever you roll out a new core or loan origination system so that documents, disclosures, notifications, and statements meet regulatory requirements.

We can step in to review system output and verify that the hundreds of responses to questions about products and services are correct and that the appropriate codes were selected.

TCA's A Better Way ensures that you have the right resources and system capabilities in place that will support your growth and success.

Key Deliverables Include:

- Helping you to develop compliance plans that allow you to satisfy requirements for examination reports.
- Providing full-service or à la carte assistance when and how you need it.
- Bringing you best practices and extra know-how when you're short on time or staff.
- Delivering peace of mind that your strategies, processes, and procedures are appropriate to your bank's risk.

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