



> *We bring the people and know-how to conduct rigorous, risk-based AMS model validations to assess how well your model governance, data integrity, and parameter thresholds adhere to regulatory guidance.*

## Automated Monitoring System (AMS) Model Validation



**A BETTER WAY** for financial institutions to manage compliance

Conducting an AMS model validation is both an art and a science.

Hundreds of banks and credit unions view us as trusted artists and scientists and count on us to bring insightful regulatory intelligence during AMS model validations.

With real-world experience as former bankers, we understand the effect of increased regulatory pressure on your team. Relying on plug-and-play settings, for example, is a path to frustration, and we know how it feels to be overwhelmed by alerts and struggle to discern what warnings to heed or ignore.

Members of our team have done everything from examining data integrity and its quality and conducting “above the line” and “below the line” testing to assessing a model’s design and how well it’s tailored to your risk profile.





We also bring a solid track record in understanding the quirks of various AMS solutions in the marketplace and performing system validations on them.

Our assessments consider both IT and BSA perspectives, and we focus on three areas:

- Model governance
- Data integrity
- Model output and performance

Throughout each engagement, we communicate with you to keep you informed on our progress and findings. At the conclusion, our exit meeting and written report document our scope, methodology, findings, and recommendations.

### For more information:

-  800-934-REGS
-  [info@tcaregs.com](mailto:info@tcaregs.com)
-  [www.tcaregs.com](http://www.tcaregs.com)
-  2021 Midwest Rd.  
Suite 200  
Oak Brook, IL 60523

# AMS Model Validation (continued)

Once our AMS Validation is complete, you can be confident that your model is sound and meet examiners' escalating expectations.

TCA gives you A Better Way to gauge whether your AMS model meets its objectives and protects you from money-laundering risks.

## Specialties:

- Model Governance
- Data Integrity
- Model Output and Performance

## Key Deliverables Include:

- Testing administrative controls, data import, and data integrity.
- Reviewing system parameters to be sure they're functioning correctly and identifying suspicious activity.
- Ensuring that your model has been calibrated to your bank's risk profile.
- Analyzing exams, reviews, and monitoring reports to trace the resolution of issues requiring corrective action.
- Providing exceptional service that respects your time.

## For more information:



800-934-REGS



info@tcaregs.com



www.tcaregs.com



2021 Midwest Rd.  
Suite 200  
Oak Brook, IL 60523