







2019 TCA[®] Webinar Sign Up

Date	Click on Topic to Register <i>Upon registration, TCA will issue an invoice for all registered webinars.</i>	TCA RCM Client Pricing	Non-TCA Client Pricing
Recording Available	Are You Managing All of Your Regulation E Risk? Effective Loss Prevention and Compliance Strategies	\$229	\$279
April 30, 2019	Compliance Training for Commercial Lenders: A Special Training Event for Commercial Lenders and Staff	\$229	\$279
May 21, 2019	Emerging Compliance Risks 2019	\$229	\$279
June 25, 2019	BSA/AML Automated Monitoring Systems and Models: Meeting Requirements and Maximizing Efficiency	\$229	\$279
July 9, 2019	2019 Fair Lending and CRA Update	\$229	\$279
August 13, 2019	BSA Action Team Basic Training	\$229	\$279
August 13, 2019	BSA Action Team Advanced Training	\$229	\$279
September 24, 2019	Advertising and Social Media Compliance – Avoid the Pitfalls	\$229	\$279






DATE	TOPIC	PRESENTER(S)
<p>March 12, 2019</p> <p><u>Recording Available</u></p>	<p style="text-align: center;">ARE YOU MANAGING ALL OF YOUR REGULATION E RISK? EFFECTIVE LOSS PREVENTION AND COMPLIANCE STRATEGIES</p> <p>There are two sides to managing Reg E risk. Most institutions focus on avoiding financial losses associated with investigating and honoring Reg E claims. However, institutions that ignore compliance risk in the name of reducing fraud losses may face greater losses from civil money penalties and customer restitution. Join nationally recognized Reg E expert, Brian Crow, on this information-packed training event which considers all facets of Reg E risk from the initial customer notice and interview through finalizing a claim.</p> <p>This session will consider:</p> <ul style="list-style-type: none"> • Initial interview questions, investigation methods and tips • When/how to involve law enforcement • Card Cracking schemes • Evolution of technology (Apple Pay, mobile apps, person-to-person payments) • Provisional Credit and Zero Liability • Chip Liability Shift • Documenting conclusions • Investigation timeframes • Recent Reg E enforcement actions (USAA Bank) <p>This session is a “must-attend” event for deposit operations tasked with investigating claims, compliance officers and others accountable for maintaining policy and procedures and auditors charged with identifying control and process weaknesses.</p>	<div style="text-align: center;">  <p>Brian Crow, CAMS Executive Vice President</p> </div>





DATE	TOPIC	PRESENTER(S)
<p>April 30, 2019</p> <p>11:00 am - 12:30 pm EST 10:00 am - 11:30 am CST 9:00 am - 10:30 am MST</p>	<p style="text-align: center;">COMPLIANCE TRAINING FOR COMMERCIAL LENDERS: A SPECIAL TRAINING EVENT FOR COMMERCIAL LENDERS AND STAFF</p> <p>Training the Commercial Lending staff on consumer compliance regulations can be a challenge. While the Commercial Lending area has fewer compliance requirements, there are still significant regulatory accountabilities which can be nuanced and complex. This highly-focused, 90-minute training event concentrates on the compliance requirements your institution's Commercial Lending staff <i>needs to know</i>.</p> <p>Topics discussed include:</p> <ul style="list-style-type: none"> • Regulation B <ul style="list-style-type: none"> ○ Appraisal disclosure and delivery ○ Joint intent ○ Notices of Action Taken and handling incomplete applications • Fair Credit Reporting Act <ul style="list-style-type: none"> ○ Applicability of FCRA ○ Requirements and Considerations for Commercial Lending • Home Mortgage Disclosure Act <ul style="list-style-type: none"> ○ Identifying business purpose loans that are HMDA reportable ○ Collection of government monitoring information • Flood Disaster Protection Act <ul style="list-style-type: none"> ○ Flood determination and notification requirements ○ Calculating adequate flood coverage for commercial loans • First Line of Defense Compliance Management for Commercial Lending <ul style="list-style-type: none"> ○ Quality Assurance through monitoring ○ Proactive and practical tips for staff training <p>Don't miss this "turnkey" compliance training event geared specifically to help commercial lending staff understand the applicability of these regulations to your commercial lending customers.</p> <p>Who should attend? Compliance staff, commercial lenders, commercial lending staff.</p>	<div style="text-align: center;">  <p>Michelle Strickland, CRCM Executive Vice-President, Compliance Services</p> </div> <div style="text-align: center; margin-top: 20px;">  <p>Monique Reyna Compliance Associate</p> </div> <div style="text-align: center; margin-top: 20px;">  <p>Angie Rankin, CRCM Senior Compliance Consultant</p> </div>







DATE	TOPIC	PRESENTER(S)
<p>May 21, 2019</p> <p>11:00 am - 12:30 pm EST 10:00 am - 11:30 am CST 9:00 am - 10:30 am MST</p>	<p style="text-align: center;">EMERGING COMPLIANCE RISKS 2019</p> <p>Where is the current regulatory emphasis? Which regulations are being focused on because of the newness or maturity of the regulation or law? What do we need to know about the current examination cycle? This annual TCA event focuses on emerging and evolving compliance, BSA/AML, Fair Lending and CRA risks.</p> <p>Topics covered include:</p> <ul style="list-style-type: none"> • HMDA – An easier exam year for 2018 HMDA filed in 2019, but HMDA will continue to be carefully scrutinized during the current exam cycle. Join TCA’s HMDA Champions as we explore what we’ve learned since the upheaval of 2018 and share tips and best practices for data collection and reporting. • Regulatory Oversight and Enforcement – We will study recent enforcement actions and the lessons to be learned as well as discussing TCA’s observations of current exam trends as noted by our extensive national client base. • TRID – The honeymoon is definitely over. Examiners are scrutinizing TRID disclosures. We will review common TRID findings in areas of higher risk, including ARMs and construction loans. • BSA/AML –Presenters will share stories about what has been learned during the first year of the Beneficial Ownership rule requirements and the focus of examiners on customer and enhanced due diligence. <p>If you only attend one training event this year, make sure it is this fast-paced, information-packed session.</p> <p>Who should attend? Compliance staff (first and second lines of defense), business unit leaders, staff and managers with compliance accountabilities, third line (audit) staff.</p>	<div style="text-align: center;">  <p>Michelle Strickland, CRCM Executive Vice-President, Compliance Services</p> </div> <div style="text-align: center; margin-top: 20px;">  <p>Angie Rankin, CRCM Senior Compliance Consultant</p> </div> <div style="text-align: center; margin-top: 20px;">  <p>Brian Crow, CAMS Executive Vice President</p> </div>





DATE	TOPIC	PRESENTER(S)
<p>June 25, 2019</p> <p>11:00 am - 12:30 pm EST 10:00 am - 11:30 am CST 9:00 am - 10:30 am MST</p>	<p>BSA/AML AUTOMATED MONITORING SYSTEMS AND MODELS: MEETING REQUIREMENTS AND MAXIMIZING EFFICIENCY</p> <p>Automated Monitoring Systems (AMS) and the models which drive them are being relied upon by many financial institutions to assist with BSA/AML risk management. These systems and models are key controls for BSA risk management. Therefore, examiners mandate the AMS and operating model(s) be independently validated and assessed to ensure they are properly configured consistent with your institution's size, complexity, BSA/AML risk profile and <i>tolerance for that risk</i>. If you rely upon an AMS, managing system and model risk is critical to ensure you are managing BSA/AML Risk. Topics for this session include:</p> <ul style="list-style-type: none"> • Regulatory guidance and expectations for independent Model Validations. • Internal rule evaluation best practices, above and below-the line testing. • Performing and responding to GAP Analysis. <p>Varying approaches by regulators has led to uncertainty of expectations. Join TCA's BSA/AML Automated Monitoring System/Model Validation subject matter expert, Brian Crow, CAMS for a 90-minute discussion filled with insight and added value.</p> <p>Who should attend? Information Technology Officers, BSA/AML Officers and staff, Risk Managers.</p>	 <p>Brian Crow, CAMS Executive Vice President</p>
<p>July 9, 2019</p> <p>11:00 am - 12:30 pm EST 10:00 am - 11:30 am CST 9:00 am - 10:30 am MST</p>	<p>2019 FAIR LENDING AND CRA UPDATE</p> <p>The only thing constant is change – and the regulatory enforcement environment for Fair Lending and CRA continues to evolve. This informative 90-minute webinar includes a focus on these evolving examiner expectations and current hot topics, specifically:</p> <ul style="list-style-type: none"> • With the initial submission of HMDA, how does the Peer Aggregate differ from prior years? What is the impact for fair lending? • Marketing and Fair Lending: What do you need to consider? • Update to the proposed modernization of CRA. <p>Who should attend? Compliance managers and personnel, Fair Lending and CRA Officers, Consumer and Commercial lenders and lending staff.</p>	 <p>Margaret Dolinger, CRCM Compliance Consultant & Fair Lending Specialist</p> <p>Steve Houchens, CRCM Director East Coast Operations</p>



DATE	TOPIC	PRESENTER(S)
<p>August 13, 2019</p> <p>11:00 am - 1:00 pm EST 10:00 am - 12:00 pm CST 9:00 am - 11:00 am MST</p>	<p style="text-align: center;">TCA BSA ACTION TEAM BANK SECRECY ACT/ANTI MONEY LAUNDERING AND RELATED TOPICS ANNUAL TRAINING EVENT</p> <p>TCA's nationally-recognized annual BSA/AML training webinars are among TCA's most popular offerings. The current regulatory oversight (and enforcement) environment is evolving as examiners focus on how their supervised institutions implement Beneficial Ownership and other due diligence requirements. Whether you are new to BSA or need a refresher, these webinars do more than satisfy the mandate for training.</p> <p style="text-align: center;">BSA ACTION TEAM BASIC TRAINING (2 HOURS)</p> <ul style="list-style-type: none"> • The Core Procedures of the FFIEC BSA Examination Manual • Customer Due Diligence, Enhanced Due Diligence and Beneficial Ownership • Identifying and Managing Risk for High Risk Customers • Recent Enforcement Actions • BSA/AML Hot Topics and Examiner Focus in Exams • USA PATRIOT Act Requirements • Office of Foreign Assets Controls 	<div style="text-align: center;">  Brian Crow, CAMS Executive Vice President </div> <div style="text-align: center; margin-top: 20px;">  Melissa Hoeft, CAMS Executive Vice President </div>
<p>August 13, 2019</p> <p>2:00 pm - 4:00 pm EDT 1:00 pm - 3:00 pm CDT 12:00 pm - 2:00 pm MDT</p>	<p style="text-align: center;">BSA ACTION TEAM ADVANCED TRAINING (2 HOURS)</p> <ul style="list-style-type: none"> • Deep-dive into the FFIEC BSA Examination Manual Expanded Procedures • The convergence of fraud prevention and detection and BSA/AML • Creating and maintaining a culture of compliance • Recent Enforcement Actions – What Can We Learn? • Effective BSA/AML Training Techniques • Developing a BSA/AML Quality Assurance Process • Emerging Issues and Hot Topics <ul style="list-style-type: none"> ○ BSA monitoring for lending areas ○ What is Enhanced Due Diligence? ○ Marijuana businesses and BSA Compliance – An Update <p>Examiners are scrutinizing the comprehensiveness and <i>effectiveness</i> of BSA/AML training efforts. Empower your BSA Staff with these premier training events from TCA!</p> <p>Who should attend? BSA/AML Managers and Staff, Deposit Operations, Tellers.</p>	<div style="text-align: center;">  Brian Crow, CAMS Executive Vice President </div> <div style="text-align: center; margin-top: 20px;">  Melissa Hoeft, CAMS Executive Vice President </div>



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<p>September 24, 2019</p> <p>11:00 am - 12:30 pm EST 10:00 am - 11:30 am CST 9:00 am - 10:30 am MST</p>	<p style="text-align: center;">ADVERTISING AND SOCIAL MEDIA COMPLIANCE – AVOID THE PITFALLS</p> <p><i>When do we have to include additional disclosures when advertising lending and deposit products? Our lenders like to use their social media pages to promote our loan products; what are the disclosure requirements and when are they triggered?</i></p> <p>These are two very common questions TCA’s Consultants receive on our client-only TCA Telephone Compliance Answerline. Social media has become ubiquitous and it’s a great way to get your message out. Regardless of how the message is delivered, regulatory requirements for specific product advertising are specific. Ensure compliance with Regulation Z and Regulation DD and avoid UDAAP and RESPA – Section 8 risks as we review the advertising requirements for insured deposit products, lending products and noninsured products. The focus will cover requirements for printed ads, radio promotions, websites and social media.</p> <p>This webinar includes a bonus discussion on creating controls for advertising as they relate to social media efforts.</p> <p>Who should attend? Compliance managers and staff (first and second lines), marketing officers and staff.</p>	<div style="text-align: center;">  <p>Monique Reyna Compliance Associate</p> </div> <div style="text-align: center; margin-top: 20px;">  <p>Angie Rankin, CRCM Senior Compliance Consultant</p> </div>

